

Date: May 29 2025

## WOL/NSE/2025/41

To,
Listing Compliance Department
National Stock Exchange of India Limited
Exchange Plaza, 05th Floor,
Plot No. C-1, Block G,
Bandra Kurla complex, Bandra (E)
Mumbai— 400051

**NSE Scrip Code: - WOL3D** 

**Sub.:** SDD Compliance Certificate for the financial year ended on March 31, 2025 (Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

Ref: NSE Circular No. NSE/CML/2024/31 dated October 18, 2024

Dear Sir/Madam,

The company is aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and compliant with Structured Digital Database (SDD) provisions.

In pursuance to Standard Operating Process under SEBI (PIT) Regulations, 2015 Circular Ref. No: NSE/CML/31 dated October 18, 2024 for ensuring compliance with Structured Digital Database ("SDD"), we hereby state that company is listed on SME NSE Platform and the provisions of Regulation 24A of SEBI (LODR) Regulations, 2015 are not applicable. Hence as per the requirement to confirm compliance status of SDD, we are submitting herewith the SDD Compliance Certificate certified by Practicing Company Secretary confirming that the company is compliant with Structured Digital Database (SDD) provisions.

PCS certificate in the prescribed format is attached.

Thanking You, Yours sincerely,

For, Wol 3D India Limited (Formerly Known as Wol 3D India Private Limited)

Rahul Chandalia Managing Director DIN: 083458

## **WOL3D INDIA LIMITED**

## PURVASHI ADHVARYU

ACS

COMPANY SECRETARY
20, AVANTIKA PARK RAW HOUSES
B/H SUN N STEP CLUB
NEAR JAY TOWER, MEMNAGAR
AHMEDABAD - 380061
CELL: 7878132000

purvashics@yahoo.co.in

## **COMPLIANCE CERTIFICATE FOR FINANCIAL YEAR 2024-25**

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Purvashi Dineshchandra Adhvaryu, Practicing Company Secretary appointed by WOL3D INDIA LIMITED (Formerly known as "WOL3D INDIA PRIVATE LIMITED") am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

- 1. the Company has not Structured Digital Database as per the provisions of Regulations.
- 2. control exists as to who can access the SDD
- 3. all the UPSI disseminated in the previous year have been captured in the Database, however data base is not in line with provisions of Regulation
- 4. the system has not captured nature of UPSI along with date and time
- 5. the database has been maintained internally and an audit trail is not maintained
- 6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture one number of events during the financial year ended and has captured one number of the said required events, however, data base is not as per the provisions of regulations

I would like to report that the following noncompliance(s) was observed in the financial year ended and the remedial action(s) taken along with timelines in this regard:

- 1. The Company is listed on NSE SME Platform on 30th September 2025. The Company does not currently maintain a Structured Digital Database software as required under Regulation. However, the Company is in the process of evaluating suitable SDD solutions to ensure compliance in the upcoming financial year.
- 2. The Company acknowledges the importance of SDD compliance and is committed to implementing the necessary measures to align with SEBI regulations.

Ahmedabad 28<sup>th</sup> May, 2025

UDIN: A016234F000480973

CS Purvashi Adhvaryu Company Secretary

C. P. No. 16613